

Investment Universe Active Advisory

Single Stocks: Shares included in following indices

S&P 500

Stoxx 600

DAX

ATX

PX (Prague Stock Exchange Index)

BUX (Budapest Stock Exchange Index)

Single Stocks Asia

Alibaba Group

US01609W1027

Prosus

NL0013654783

AIA Group

HK0000069689

CK Hutchison Holdings

KYG217651051

Ryman Healthcare

NZRYME0001S4

Equity funds

all types of equity funds and stock exchange-traded funds (ETFs)

Single Bonds

all types of bonds (e.g. government and corporate bonds, covered bonds, money market paper and convertible bonds)

within investment-grade and upper high-yield range (meaning in the worst case ratings of BB- or Ba3 from the rating agencies Standard & Poor's or Fitch or Moody's)

in the currencies EUR, USD, CHF, GBP, AUD, NOK, SEK, CAD, CZK, HUF and CNY

Bond funds

all types of bond funds and bond exchange-traded funds (ETFs)

in the currencies EUR, USD, CHF, GBP, AUD, NOK, SEK, CAD, CZK, HUF and CNY

Mixed funds

investment funds or exchange-traded funds (ETFs) invested in a combination of the asset classes named

Alternative Investments

investment funds or exchange-traded funds (ETFs) or exchange-traded commodities (ETCs)

investing in alternative strategies (e.g. hedge fund strategies, commodities, real estate (stocks), derivatives, combination of the asset classes/strategies named

Certificates and Warrants

based on financial instruments of the investment universe

01/21/2021

Financial instruments currently included in the investment universe according to clause 1.3. of "Investment Advice Agreement". Bank Gutmann AG explicitly points out that this document is intended solely for personal use and for information only. Subject to change without notice.