

## Investment Universe Self Management Standard

### Exchange Traded Commodities (ETCs)

#### Equities USA

|              |     |                           |
|--------------|-----|---------------------------|
| IE00B5BMR087 | EUR | iShares S&P 500 UCITS ETF |
| IE00B5BMR087 | USD | iShares S&P 500 UCITS ETF |

#### Equities Nasdaq

|              |     |                                   |
|--------------|-----|-----------------------------------|
| IE0032077012 | EUR | Invesco EQQQ NASDAQ-100 UCITS ETF |
| IE0032077012 | USD | Invesco EQQQ NASDAQ-100 UCITS ETF |

#### Equities Europe

|              |     |                                    |
|--------------|-----|------------------------------------|
| IE00B4K48X80 | EUR | iShares Core MSCI Europe UCITS ETF |
| IE00B4K48X80 | USD | iShares Core MSCI Europe UCITS ETF |
| LU0380865021 | EUR | Xtrackers Euro Stoxx 50 UCITS ETF  |
| DE000A0D8Q07 | EUR | iShares EURO STOXX UCITS ETF       |
| DE0005933931 | EUR | iShares Core DAX UCITS ETF         |

#### Equities Japan

|              |     |                                |
|--------------|-----|--------------------------------|
| LU0950671825 | EUR | UBS ETF - MSCI Japan UCITS ETF |
| LU0950671825 | JPY | UBS ETF - MSCI Japan UCITS ETF |
| LU0136240974 | USD | UBS ETF - MSCI Japan UCITS ETF |

#### Equities Asia ex Japan

|              |     |   |
|--------------|-----|---|
| IE00B0M63730 | EUR | iShares MSCI AC Far East ex Japan UCITS ETF   |
| IE00B0M63730 | USD | iShares MSCI AC Far East ex Japan UCITS ETF   |
| LU1900068328 | EUR | Lyxor MSCI AC Asia Pacific Ex Japan UCITS ETF |

#### Equities Emerging Markets

|              |     |                                    |
|--------------|-----|------------------------------------|
| IE00BKM4GZ66 | EUR | iShares Core MSCI EM IMI UCITS ETF |
| IE00BKM4GZ66 | USD | iShares Core MSCI EM IMI UCITS ETF |

#### Equities - Industries & Themes

|              |         |   |
|--------------|---------|---|
| IE00BM67HS53 | EUR+USD | Xtrackers MSCI World Materials UCITS ETF              |
| IE00BM67HP23 | EUR+USD | Xtrackers MSCI World Consumer Discretionary UCITS ETF |
| IE00BM67HN09 | EUR+USD | Xtrackers MSCI World Consumer Staples UCITS ETF       |
| IE00BM67HM91 | EUR+USD | Xtrackers MSCI World Energy UCITS ETF                 |
| IE00BM67HL84 | EUR+USD | Xtrackers MSCI World Financials UCITS ETF             |
| IE00BM67HK77 | EUR+USD | Xtrackers MSCI World Health Care UCITS ETF            |
| IE00BM67HV82 | EUR+USD | Xtrackers MSCI World Industrials UCITS ETF            |
| IE00BM67HT60 | EUR+USD | Xtrackers MSCI World Information Technology UCITS ETF |
| IE00B1FZS467 | EUR+USD | iShares Global Infrastructure UCITS ETF               |
| LU0322253229 | EUR+USD | Xtrackers S&P Global Infrastructure Swap UCITS ETF    |
| IE00B6R51Z18 | EUR+USD | iShares Oil & Gas Exploration & Production UCITS ETF  |
| IE00BYTRRD19 | EUR+USD | SPDR MSCI World Technology UCITS ETF                  |
| IE00BM67HR47 | EUR+USD | Xtrackers MSCI World Communication Services UCITS ETF |
| IE00BM67HQ30 | EUR+USD | Xtrackers MSCI World Utilities UCITS ETF              |

#### Equities Short / Inverse

|              |     |  |
|--------------|-----|--|
| LU0292106753 | EUR | Xtrackers Euro Stoxx 50 Short Daily Swap UCITS ETF |
| FR0010424143 | EUR | Lyxor EURO STOXX 50 Daily (-2x) Inverse UCITS ETF  |
| LU0322251520 | EUR | Xtrackers S&P 500 Inverse Daily Swap ETF           |
| LU1327051279 | EUR | Lyxor S&P 500 Daily (-2x) Inverse UCITS ETF        |

#### Bonds Aggregate

|              |     |  |
|--------------|-----|--|
| IE00B3S5XW04 | EUR | SPDR Bloomberg Barclays Euro Government Bond UCITS ETF |
| IE00B44CGS96 | USD | iShares US Aggregate Bond UCITS ETF                    |

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### Government Bonds

|              |     |  |
|--------------|-----|--|
| IE00B4WXJJ64 | EUR | iShares Core € Govt Bond UCITS ETF                   |
| IE00B44CND37 | USD | SPDR Bloomberg Barclays U.S. Treasury Bond UCITS ETF |

### Corporate Bonds

|              |     |                                    |
|--------------|-----|------------------------------------|
| IE00B3F81R35 | EUR | iShares Core € Corp Bond UCITS ETF |
| IE0032895942 | USD | iShares \$ Corp Bond UCITS ETF     |

### Government Bonds - mid to long maturities

|              |     |  |
|--------------|-----|--|
| IE00B3VTML14 | EUR | iShares € Govt Bond 3-7yr UCITS ETF        |
| IE00B1FZS806 | EUR | iShares € Govt Bond 7-10yr UCITS ETF       |
| IE00B3VWN393 | USD | iShares \$ Treasury Bond 3-7yr UCITS ETF   |
| IE00B1FZS798 | USD | iShares \$ Treasury Bond 7-10yr UCITS ETF  |
| LU1407890620 | USD | Lyxor Core US Treasury 10+Y (DR) UCITS ETF |
| IE00BSKRJZ44 | USD | iShares \$ Treasury Bond 20+yr UCITS ETF   |

### Government Bonds - short maturities

|              |     |   |
|--------------|-----|---|
| IE00B14X4Q57 | EUR | iShares € Govt Bond 1-3yr UCITS ETF                 |
| IE00B14X4S71 | USD | iShares \$ Treasury Bond 1-3yr UCITS ETF            |
| DE000A0Q4RZ9 | EUR | iShares eb.rexx® Government Germany 0-1yr UCITS ETF |
| IE00B3FH7618 | EUR | iShares € Govt Bond 0-1yr UCITS ETF                 |
| FR0010510800 | EUR | Lyxor Euro Overnight Return UCITS ETF               |
| IE00BGSF1X88 | USD | iShares \$ Treasury Bond 0-1yr UCITS ETF            |

### High Yield Bonds

|              |     |   |
|--------------|-----|---|
| IE00BYXYL56  | USD | iShares \$ High Yield Corp Bond UCITS ETF                         |
| IE00BVZ6SQ11 | USD | PIMCO Short-Term High Yield Corporate Bond Index Source UCITS ETF |
| IE00BF3N7094 | EUR | iShares € High Yield Corp Bond UCITS ETF                          |

### Emerging Markets Bonds

|              |     |   |
|--------------|-----|---|
| IE00BJ5JPH63 | EUR | iShares J.P. Morgan \$ EM Bond UCITS ETF                            |
| LU1324516308 | EUR | UBS ETF Bloomberg Barclays USD Emerging Markets Sovereign UCITS ETF |
| IE00BYXYK40  | USD | iShares J.P. Morgan \$ EM Bond UCITS ETF                            |
| LU1324517454 | USD | UBS ETF Bloomberg Barclays USD Emerging Markets Sovereign UCITS ETF |

### Exchange Traded Commodities (ETC)

|              |         |  |
|--------------|---------|--|
| DE000A1KYN55 | EUR     | Xtrackers Brent Crude Oil Optimum Yield ETC (EUR)      |
| DE000A1AQGX1 | EUR     | Xtrackers Brent Crude Oil Optimum Yield EUR Hedged ETC |
| GB00B15KXV33 | USD     | Wisdom Tree WTI Crude Oil (EUR) ETC                    |
| IE00B579F325 | EUR+USD | Invesco Physical Gold ETC                              |
| DE000A1EK0G3 | EUR     | Xtrackers Physical Gold EUR Hedged ETC                 |

## Investment Universe Self Management Standard

### Equities

#### Equities USA

Positions of the S&P 500 Index

#### Equities Europe

Positions of the STOXX Europe Large 200 Index

Positions of the ATX - Austrian Traded Index

#### Equities Czech Republic

|              |                   |
|--------------|-------------------|
| GB00BDD85M81 | AVAST             |
| CZ0005112300 | CEZ               |
| AT0000652011 | ERSTE GROUP BANK  |
| CZ0008019106 | KOMERCNI BANKA    |
| CZ0008040318 | MONETA MONEY BANK |
| CS0008418869 | PHILIP MORRIS     |

#### Equities Hungary

|              |                |
|--------------|----------------|
| HU0000061726 | OTP            |
| HU0000153937 | MOL            |
| HU0000123096 | RICHTER GEDEON |
| HU0000073507 | MAGYAR TELEKOM |

#### Equities Asia

Positions of the Hang Seng Index

|              |                                     |
|--------------|-------------------------------------|
| JP3633400001 | Toyota Motor Corp                   |
| JP3435000009 | Sony Group Corp                     |
| JP3236200006 | Keyence Corp                        |
| JP3735400008 | Nippon Telegraph & Telephone Corp   |
| JP3902900004 | Mitsubishi UFJ Financial Group Inc  |
| JP3756600007 | Nintendo Co Ltd                     |
| JP3496400007 | KDDI Corp                           |
| JP3463000004 | Takeda Pharmaceutical Co Ltd        |
| JP3436100006 | SoftBank Group Corp                 |
| JP3788600009 | Hitachi Ltd                         |
| JP3970300004 | Recruit Holdings Co Ltd             |
| JP3571400005 | Tokyo Electron Ltd                  |
| JP3475350009 | Daiichi Sankyo Co Ltd               |
| JP3890350006 | Sumitomo Mitsui Financial Group Inc |
| JP3898400001 | Mitsubishi Corp                     |
| JP3371200001 | Shin-Etsu Chemical Co Ltd           |
| JP3837800006 | Hoya Corp                           |
| JP3854600008 | Honda Motor Co Ltd                  |
| JP3481800005 | Daikin Industries Ltd               |
| JP3732000009 | SoftBank Corp                       |
| JP3143600009 | ITOCHU Corp                         |
| JP3910660004 | Tokio Marine Holdings Inc           |
| JP3734800000 | Nidec Corp                          |
| JP3914400001 | Murata Manufacturing Co Ltd         |
| JP3893600001 | Mitsui & Co Ltd                     |
| JP3198900007 | Oriental Land Co Ltd/Japan          |
| JP3802400006 | FANUC Corp                          |
| JP3942400007 | Astellas Pharma Inc                 |
| JP3885780001 | Mizuho Financial Group Inc          |
| JP3422950000 | Seven & i Holdings Co Ltd           |
| JP3162600005 | SMC Corp                            |
| JP3818000006 | Fujitsu Ltd                         |

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|              |                              |
|--------------|------------------------------|
| JP3242800005 | Canon Inc                    |
| JP3551500006 | Denso Corp                   |
| JP3902400005 | Mitsubishi Electric Corp     |
| JP3830800003 | Bridgestone Corp             |
| JP3201200007 | Olympus Corp                 |
| JP3304200003 | Komatsu Ltd                  |
| JP3200450009 | ORIX Corp                    |
| JP3814000000 | FUJIFILM Holdings Corp       |
| JP3726800000 | Japan Tobacco Inc            |
| JP3546800008 | Terumo Corp                  |
| JP3866800000 | Panasonic Holdings Corp      |
| JP3205800000 | Kao Corp                     |
| JP3519400000 | Chugai Pharmaceutical Co Ltd |
| JP3476480003 | Dai-ichi Life Holdings Inc   |
| JP3893200000 | Mitsui Fudosan Co Ltd        |
| JP3899600005 | Mitsubishi Estate Co Ltd     |
| JP3404600003 | Sumitomo Corp                |
| JP3566800003 | Central Japan Railway Co     |

### Bonds

**Bonds whose ISIN has the following initial letters: US, XS (except Canada), DE, FR, AT**

### Investment Funds

**After consultation with the Bank**

### Note

**Certificates and warrants are not included in this investment universe**

19/07/2022

Financial instruments currently included in the investment universe according to clause 1 of "Self Management Agreement Standard". Bank Gutmann AG explicitly points out that this document is intended solely for personal use and for information only. Subject to change without notice.