Gut PRIVATE BANKERS

Online Reporting

User Manual

Vienna, April 2022



MONEY. AND HOW TO KEEP IT.



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1. Introduction to Online Reporting

Information is the basis of successful and sustainable business relations. We are therefore pleased to provide you with a comprehensive information platform for your accounts and securities accounts as part of the Gutmann Online Reporting service. Here you will find all details on transactions and holdings as well as a variety of analytical tools for your portfolio.

This brochure is design to give you a first glimpse of the scope of the functionalities offered along with useful advice on how to use Online Reporting. If you have any further questions, your relationship manager will be pleased to assist you at any time.

1.1. Availability

Gutmann Online Reporting can be accessed via the Bank Gutmann website at <u>http://www.gut-mann.at</u>. Your personal access data allow you to access details of your accounts and securities accounts as well as documents and tools for portfolio analysis that are available online.



Source: www.gutmann.at



1.2. Log-in to Online Reporting

To log in to Online Reporting click the login button on the right-hand side of Bank Gutmann's home page.

This will display an input mask where, first, you have to type in the user name and the personal password and where, at the firstever login, the initial password must be keyed in. When logging in for the first time, you will also be asked to provide a personal password. This password must consist of at least 6 characters, including one symbol as well as uppercase and lowercase letters.

When you click "Log in", a mask will finally appear where you can type in the current security code. This security code is generated by pressing a button on your personal token and will be displayed in the top right corner of the token. The security code generated in this manner will remain valid for one minute and must be typed into the login mask. If needed, a new security code can be generated with the token at any time. Click "Register" ("Anmelden") to finally access Online Reporting.

With right pictured token security code will

be generated by pressing the buttons

and for few seconds. The security code generated in this manner will remain valid for one minute and must be typed into the login mask. If needed, a new security code can be generated after 30 seconds by press-

ing 🗖



LOGIN			
User name			
		Forgot passw	
	LOG		







2. Contents and use of Online Reporting

Gutmann Online Reporting offers detailed information on transactions and positions, access to a wide range of documents as well as a variety of analytical tools for your portfolio. Below, you will find a brief description of the types of information and functionalities available.

2.1. Home page and menu navigation design

On the home page of Online Reporting, you can see, at a glance, some key information and the contact details of your personal relationship manager.



The simple menu on the left-hand side of Online Reporting guides you through all the data and analyses available. The latter are filled with the data selected based on the global parameters chosen in the top right corner.

Menu items available

On the left-hand side of the Online Reporting page, a menu is displayed that guides you through the pages available. The first item takes you to the home page, which provides concise information and your relationship manager's contact details. This is followed by the menu item "Asset analysis", which shows the current composition of your assets as well as your asset allocation history. Under the menu item "Performance", you will moreover find various analytical options in the form of line charts.



The two next menu items "Positions" and "Movements" provide details on all account and securities account holdings as well as full details on past movements in your account and securities account.

The menu item "Documents" holds all documents made available to you under the Online Reporting service in the form of reports or online documentation. The menu item "Information on Online Reporting" comprises this User Manual as well as the terms and conditions for use and legal information.

Finally, the menu item "Message to client relationship manager" enables you to send a message to your personal relationship manager right from the Online Reporting service.

Global parameters

These are displayed in the top right corner of Online Reporting and allow you to select your client numbers, to define a specific time period and to change the reporting currency. The default setting is the year-to-date period. If you wish, your client relationship manager can change your preferred default reporting currency and the client number to be selected when you log in to the service.

Customized home page

Depending on the level of detail you want to display on your home page, you may opt for one of two different home pages.

The standard home page provides you with a good overview of your current assets, year-todate performance and the latest news.





If you require more detailed information on the home page and direct links to account and securities account movements as well as position tables, you may opt for the Professional home page.

Your client relationship manger will be happy to change the home page for you if required.

2.2. Menu item Asset holdings

The menu item Asset holdings offers an overview of the development of the assets and the performance of your portfolio as well as the current status of asset allocation with links to further details.

	D 76: Demo P	ortf. Max Mustermann 🗸	⊙ 12/31/201	17-11/13/2018 V & EUR	× 8	LOGOUT
						TRIAL US
Asset hold	nos					
1 ibbet north	1165					
Demo Portf. Max .	Mustermann - 76					
Asset development	ſ		Ģ	Performance		
1						
Total assets as of 12/31/201	7 EUR	4,38	38,962.00		before taxes	after tax
+ incoming cash/securities	EUR		0.00	During reporting period	1.57%	1.57
- Outgoing cash/securities	EUR		0.00	Last 12 months	2.13%	2.13
+/- Net change	EUR	6	64,372.76	since 01/01/2013	47.58%	47.58

Asset analysis

Asset analysis displays the current allocation by asset class, with the option of displaying subclasses for individual asset classes (depending on the composition of the portfolio and the availability of look-through data for sub funds). In addition, asset allocation history can be displayed as well.

PRIVATE BANKERS Asset analysis Asset analysis presents a detailed breakdown of the investment categories and currency allocation of the asset manag respective changes. ment strategy and the Assessment by asset class Cash and money market ~ EUR 33,554.98 0.75% Bonds ~ EUR 643,353.13 14.45% Equities ~ EUR 3,393,590.67 76.20% Alternative Investments ~ EUR 390,833.89 Asset analysis EUR Derivatives -566.05 Asset analysis presents a detailed breakdown of the inv nt strategy and the Third party mixed funds EUR 0.00 ctive che -7,431.85 Pending payme EUR Total assets of 11/13/2018 EUR 4,453,334.77 Historical change in the asset allocation 0.75% 14.45% 76.20% 8.78% -0.01% Derivatives rd party mixed funds -0.17% Year to date (1)

Another table shows the current currency composition of the portfolio as well as the history of currency exposure. Occasionally, portfolio composition and the non-availability of look-through data for sub funds may render a full look-through calculation of portfolio positions impossible.

Currency	Current exposure in E currency	Exchange rate	in EUR	Allotment	Allotment in %			-
EUR	1,899,607.82	1.00000	1,899,607.82	42.66%				
USD	1,982,648.70	1.12420	1,763,608.52	39.60%				
CHF	313,206.29	1.13650	275,588.46	6.19%				
GBP	213,496.55	0.87390	244,303.18	5.49%				
CAD	114,849.48	1.48790	Historical cur	rency exposur	<i>е</i>			
JPY Other	8,929,244.35	128.14000					100.00% I→	 <i>42.66</i>
Exposure	~						75.00%	39.60
	\rangle			~			50.00%	6.19
							25.00%	1.30 1.73
			12/31/2017	03/20/2018	06/07/2018 08/25	/2018 11/13	0.00%	\odot



2.3. Menu item Performance

Under the menu item Performance, you find various options for analyses in the form of line charts. Within each chart, the period covered by the data displayed can be individually specified by using the box in the bottom left corner, which allows the user to select a starting date and an end date in addition to predefined time periods. Likewise, with all line charts the Options section in the top right corner offers the option of downloading the data displayed in the chart (with the exception of benchmark data) as Excel time series.

Performance			
time period (until enddate) last week last month	Start date	End date	
last 6 months last 12 months	Su Mo Tu We Th Fr Sa	Su Mo Tu We Th Fr Sa 28 29 30 31 1	
since start of year last 3 years	3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23	$\begin{array}{cccccccccccccccccccccccccccccccccccc$	LS-Download
since opening	24 25 26 27 28 29 30 31 1 2 3 4 5 6	25 26 27 28 29	hart settings
		00%	

Performance chart

The performance chart shows the aggregate performance of the client number selected prior to tax. The chart options shown in the top right corner offer the user the download function as well as performance by asset class.





Further analyses

Under the heading of "Further analyses", Online Reporting offers the option of calculating performance contribution in real time. For this purpose, three different categories are available.

"Contribution of asset classes" shows the contributions of each asset class to the aggregate performance of the portfolio selected, prior to client-specific taxes and fees. "Contribution of performance components" shows the performance contributions of capital gains, currency gains and interest and dividend income. "Contribution of performance components by currency" shows performance contributions of individual positions grouped by currency.



With all of the three analysis options offered, due to data availability, look-through to sub funds held in the portfolio at individual asset level is possible only for Gutmann KAG funds.



2.4. Menu item Positions

The menu item Positions is broken down into three sub-menu items, with an expanded search function as well as the option of downloading all data in Excel format being available under each item.

Search	Q	Hide extended search A
Asset class	Bonds Bond Funds Equity Funds Al	emative Investments
Currency	EUR	
Including open orders	Not including open orders	Download securities positions

Movements in accounts and securities accounts

Under the Accounts category, all active accounts of the client number selected are shown including all account details. The Movements icon in the list of accounts takes you to the account movements of the account you wish to view.

			♡ G	Account overv Demo Portf. Max Muster	iew rmann - 76					
				(Search Q)						
\bigcirc	Accou	nt Movemen TEUR - PM (AT539)	ts ‱	Download Account overview	lio 🖻					
(B)	Search		L L	Account overview		IBAN	Exchange rate	Balance in account currency	â	≒
				Client 76						
\succeq	Download accor	unt movements	E	> VVW PK AT EUR - PM	AT53999990001	1110081	1.00000	-4,482.15	EUR	L1
	Date :	Type of transaction :	Wordi 🗹							
←	> 01/03/2018	Security purchase	Nordea 1 - Alpha 10 MA Fund	(LU0445386955)	-43,888.76					
\rightarrow	>01/17/2018	Security purchase	Laffitte Risk Arbitrage UCITS (LU1602251818)	-68,398.74					
	> 02/01/2018	Distribution	Distribution Gutmann Global D	ividends (EUR) (A) (AT0000A0LXW3)	30,836.63					
	> 02/05/2018	Security purchase	Lyxor/Tiedemann Arbitr.Str.Fd	(IE00B8BS6228)	-109,717.39					
	> 02/15/2018	Distribution	Distribution Gutmann Aktienfo	nds (EUR) (T) (AT0000973003)	1,712.44					
	> 03/01/2018	Distribution	Distribution Gutmann Investor	Renten Global (AT0000642392)	2,972.66					
•	> 03/05/2018	Security purchase	Invesco Fds-Inv.GI Target.Ret	(LU1004133531)	-107,134.49					
	> 07/03/2018	Security purchase	Cramer Ro.McGICRM Lg/Sh	Opp. (IE00BYPKJD85)	-65,160.62					
	> 10/12/2018	Security purchase	3,5% S-WOHNBAUBANK 05-I	04.02.20 (AT0000443205)	-13,480.05					

Securities positions

The sub-menu item Securities positions offers an overview of all portfolio holdings of the client number selected or the securities account selected. Clicking on a specific position displays detailed information on the position plus a performance chart. The links appearing in the details such as "Show transaction list" and "Show income" enable the user to go to yet another level offering additional details on transactions and income relating to this specific position.



If the position is a Gutmann KAG fund, a link will take you from the positions list direct to the fund details on the Gutmann KAG website.

	Securities pos	itions		
\bigcirc	Demo Portf. Max Mus	termann - 76		
Ċ	Search Q		Show	v extended search 🐱
	Including open orders Not	including open orders		9 Results Reset
	Download securities positions	portfolio		
	Securities account details Type Title :	Performance Nominal in currency value/share :	Valuation o in EUR o Price o Exchange Valu rate o	e in EUR 👍 :
ŧ	3,5% S-WOHNBAUBA Gutmann Investor Ren Cramer Ro.McGL-CRM	Gutmann Aktienfonds (EUR) (T) A	194,596.00 60.50% 60.50%	9.46000 1.00000 1,840,878.16 EUR ×
	Invesco Fds-Inv.Gl Tai Laffitte Risk Arbitrage	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	96.00%	Details ISIN AT0000973003
	Lyxor/Tiedemann Arbit Nordea 1 - Aloba 10 M	and the second s	32.00%	Bloomberg Ticker GUTAKTN AV Equity
		~~~~~~	0.00%	Share of overall portfolio 41.34%
		01/01/2013 06/20/2014 12/07/2015	05/25/2017 11/12/2018	Performance for period selected 1.71%
		Past Performance is not a reliable indicator for future res currency fluctuations.	sults. Return may increase or decrease as a Result of	Stock market listing AT FONDS
				Exchange Code 801
		Inception date	Valuation	Minimum tradable unit/amount 1.00
		Purchase date (1) 01/01/2013	Price date 11/09/2018	Currency of coupon/dividend EUR
		Purchase price 0 5.92	Value in currency 1,840,878.16	Tax category n.a.
		Purchase Exchange rate 1.00000	Accrued interest in EUR 0.00	Security amount number 76 - 0
		Cost value in currency 1,152,008.32	Deferres taxes in EUR 0.00	Category Equity Funds
		Cost value in EUR 1,152,008.32	Accumulated proceeds in EUR () 8,134.11	
		SHOW TRANSACTION LIST ~	SHOW INCOME ~	» FUND DETAILS

### Other positions

Under Other positions, you will find a table with portfolio components that are neither an account nor a securities position, but tax accruals or payments pending.

$\bigcirc$	Other positions			
G	Demo Portf. Max Mustermann - 76			
⊵	Search Q			
≣				No results found   Reset
ţ	Download position list other positions 🗟 Download position list of entire portfolio positions 🗟			
	Other portfolio positions :	Nominal value/share	Price	Exchange Value in EUR 😂 : rate
÷		No data available		



If your client number comprises holdings that are not eligible for inclusion in a securities account, an addition table will be displayed with other investments (no securities pursuant to § 1 para 1 Depotgesetz (Securities Deposit Act)) showing all details on these positions.

### 2.5. Menu item Movements

The menu item Movements is broken down into three sub-menu items, which offer an expanded search function and downloading of all data in Excel format.

#### Movements in the securities account

The sub-menu item Movements in securities account shows all securities transactions of the client number selected and/or the securities account selected within the defined time period. Clicking on a transaction will display further details. If a settlement document is available for the transaction it can be downloaded direct from the transactions list.

$\bigcirc$	Deposit Transa	ctions							
G									
⊵	Search Q					Show exte	ended search 🗸		
		Date :	Type of transactions :	Nominal/sha	re o Title o	<i>a</i> :	Exchange rate in currency :	Value in EUR : Sec stat	urities: lement
	Download Deposit Transactions	>01/01/2018	Buy	3,54	8.00 Nordea 1 - Alpha 10 MA Fund	EUR	12.37000	43,888.76	
<u> </u>		> 01/15/2018	Buy		6.00 Laffitte Risk Arbitrage UCITS	EUR	11,399.79000	68,398.74	
$\rightarrow$	Date : Type of	02/01/2018	Buy	1,02	7.00 Lyxor/Tiedemann Arbitr.Str.Fd	EUR	106.83290	109,717.39	
_	transactions :	-							×
	>01/01/2018 Buy								
	>01/15/2018 Buy	Settlement	amounts		Billing information		Details		
<u>.</u>	>02/01/2018 Buy	Market value	in currency	109,717.39	Currency billing account	EUR	Trade date	02/01/2018	l.
•	>03/01/2018 Buy	Accrued inter	rest in currency	0.00	Billing account	11110081	Value date	02/05/2018	í.
_	>07/01/2018 Buy	Taxes in curr	ency 🕕	0.00	Exchange rate	1.00000	Booking day	02/02/2018	ł
$\simeq$	> 10/10/2018 Buy	Third-party fe	es in currency	0.00	Value in currency	109,717.39	Securities account number	76 - 0	í.
		Sub total in c	urrency	109,717.39	Credit/Debit in account currency	-109,717.39	ISIN	IE00B8BS6228	j.
_		Clearing exp	enses in currency	0.00			Statement number	75116	j .
		Securities fee	es in currency	0.00					
		> 03/01/2018	Buy	9.97	500 Invesco Eds. Inv GI Tarnet Ret	ELIR	10 74030	107 134 49	



### Distributions/dividends/coupons

In the tabulation of Distributions/dividends/coupons, you will find all corporate actions that have been settled and relate to your portfolio positions plus a variety of details, if required.

♡ C	Payouts Demo Portf. Ma	tx Musterma	mn - 76						
$\succeq$	Search	9				Show ex	dended search 🗸		
	Download Payouts	Date :	Type of transaction :	Nominal value/sh	are Title :	¢:	Earnings by share :	Net amount in EUR o	Statement
$\stackrel{\leftarrow}{\leftarrow}$	Date : Type	> 02/01/2018	Distribution	9,043	.00 Gutmann Global Dividends (EU (A)	JR) EUR	3.41000	30,836.63	
	> 02/01/2018 Distri	02/15/2018	Distribution	194,596	.00 Gutmann Aktienfonds (EUR) (1	) EUR	0.00880	1,712.44	×
ŧ	>02/15/2018 Distri >03/01/2018 Distri	Settlement o	amounts		Billing informations		Details		
		Gross value i	n currency	1,712.44	Currency billing account	EUR	Trade date	02/15/2	2018
$\square$		Taxes in curr	ency (i)	0.00	Billing account number	11110081	Value date	02/15/2	2018
		Third-party fe	es in currency	0.00	Exchange rate	1.00000	Booking day	02/16/2	2018
		Subtotal in cu	irrency	1,712.44	Value in currency	1,712.44	Securities account nun	nber 70	6 - 0
		Exchange rat	e commission	0.00	Credit/Debit in account currency	1,712.44	ISIN	AT0000973	3003
							Statement number	83	3451
		>03/01/2018	Distribution	45,593	.00 Gutmann Investor Renten Glot	al EUR	0.06520	2,972.66	

### Liquidity forecast

Based on your portfolio positions, the liquidity forecast shows all future payments currently known to and expected by us over the next three years.

Liqui	dity for	ecast					
Demo Po	rtf. Max Mus	stermann - 76					
Search	Q					,	Show extended search
1 Year	2 Years	3 Years					1 Result   Rese
Download liqu	uidity forecast						
Month :	Date ≎	Nominal value :	Title :	<b>a</b> :	Text :	Gross value in EUR :	Net amount in EUR
> February	02/04/2019	12,663.00	3,5% S-WOHNBAUBANK 05- 04.02.20	EUR	Coupon	443.21	443.2



## 2.6. Menu item Documents

Under this menu item, you will find all documents that have been released for Online Reporting purposes. They comprise all settlement documents of securities transactions and corporate actions as well as account and securities account statements. In addition, a variety of other documents is also provided depending on the strategy and the composition of the portfolio. If you have agreed with your client relationship manager that you will receive periodic portfolio reports, you will also find these under this menu item.

$\bigcirc$	Documents	
G	(Search Q)	
	Document type All ~	97 Results
] ↓	Year 2018 ^	
۵	December ^	
ŧ	COUPON/DIVIDEND - DEPOSIT GUTHANN_INVESTOR_DYNAHISCH_GUTHANN_KAG	
	SUBSCRIPTION - DEPOSIT GUTMANN_INVESTOR_DYNAHISCH_GUTMANN_KAG 12002018   PDF	

# 2.7. Menu item Information on Online Reporting

Under this menu item, you will find the current terms and conditions for use of Online Reporting as well as the User Manual and legal information.

$\bigcirc$	Information about Online Reporting
Ġ	Terms and conditions
	The use of Online Reporting is subject to the below terms and conditions. For questions, please contact your client relationship manager. Terms and conditions.pdf
≣	Usar quida
⇔	Below please find our Online Reporting user guide.
	oser guide-puj
÷	Weitere Informationen Imprint
	Disclaimer Data protection Contact General Terms & Conditions



# 2.8. Menu item Message to relationship manager

Regardless of where you are in Online Reporting, you may send a message to your client relationship manager at any time by using the menu item Message to relationship manager (envelope-shaped icon). This menu item opens a message window, which you can use to send your questions regarding Online Reporting direct to your relationship manager, who will then contact you as usual through the agreed channel.

♥ B	Online Reporting Welcome to Gutmann Online Reporting!	
	QUESTION FOR GABRIELA UNTERGRABNER	enables the user to access up novements, as well as perform
	MESSAGE*	
		er the indicated reporting date, the menu bar. Further ets/percent figure.
	This message does not consulute a request for action.	ease or decrease as a result of



# 3. Your Personal Funds Service

Clients invested in special funds have the possibility to access the Fund Service Individual via the Online Reporting service. The Fund Service Individual provides historic and current official fund valuations from Gutmann KAG, whereas the Online Reporting service provides data from the core banking system of the bank.

In addition to the various information relating to the fund, clients have access to extensive information and key figures as well as to the fund documents and booking details from the fund accounting system. The link to the Fund Service Individual can be found on the home page of the Online Reporting service.

$\bigcirc$	Online Reporting	e 🜔
Ġ	Welcome to Gutmann Online Reporting!	
$\succeq$	With easy to use functionality, the Gutmann Online Reporting tool enables the user to access up-to-date information regarding asset holdings, security and cash account movements, as well as performance analytics.	
	Fund Service Individual This link takes you to Gatmann KAG's Funds Service. It offers current and historical official fund valuation data in-	
$\leftarrow$	depth information on metrics as well as fund documents and fund accounting details.	
	YOUR PERSONAL FUNDS SERVICE	

### 3.1. Home page and Menu

An overview of the fund together with the contact details of the adviser is displayed on the home page. Clients have the opportunity to navigate between the Fund Service Individual and the Online Reporting service. A menu bar is displayed in a similar way to the Online Reporting service on the left-hand side, providing access to all available data and analysis options.

		Lintur RRIVATE BANKI Cutmann A	α ser ktienfonds ∨ ⊙ 12/31/2021-84/19/2022 ∨ ξ	2 LOGOUT S		
$\bigcirc$	Your Pers	onal Funds Service	0			
i	We welcome you	n most cordially to your Personal Guth	nann Funds Service. S	E)		
⊬	depth information on met	Funds Overview				
==	Gutmann Online This link takes you to Bar asset holdings, details or	Download Complete Funds Overview				
$\stackrel{\longrightarrow}{\hookrightarrow}$		Fund name *	ISIN/Segment	Category	Distribution -	Currency -
		Gutmann Aktienfonds	Complete fund	Equities	T	EUR
			AT0000973003		т	EUR
			AT0000A1QCR4		Т	USD



### Available menu options

The menu bar displayed on the left-hand side guides you through all available pages. The first menu item displays the homepage with an overview of the fund and the contact details of your adviser. The tab "Details" leads you to the page with the detailed information on the fund, its tranches and segments. Under "Performance", you will find different chart analysis.

The menu items "Positions" and "Transactions" provide you with detailed information on the fund holdings as well as all the details relating to historical transactions including distributions/dividends/coupons.

Your current subscriptions are displayed under "Subscription Service" and you have the possibility of subscribing to further publications and fund documents. Historic versions of the fund documents can be found and downloaded under "Archive". This user manual, terms and conditions as well as legal information are displayed under "Information about Fund Service Individual".

The last menu icon "Envelope" provides you with the opportunity to leave a message to your personal adviser.

#### Individual parameters

You will find the settings for your individual parameters relating to your fund, tranches and segments in the top right hand side of the window. The default setting for the timeline is year to date.

### 3.2. Menu item "Details"

Under "Details", you will find extensive information on the key figures of the fund, tranches and segments. Depending on the structure of the fund and the selected fund element, a detailed or summarized illustration will be displayed.

The basic information presents an overview of all important information such as the fund authorization, ISIN name, tranche currency, fund type, appropriation of profits, fiscal year, unit value, factsheet, KID and the risk-reward profile.

D				
	el	าล	1	S
	-	u	1	

Gutmann Aktienfonds			
The Gutmann Aktienfonds is managed on an opp should provide stable growth. However it also s	portunistic basis. It pred	ominantly invest in companies the	hat
	Base Data		
Gutmann Aktientonas is a fund that aims high long-term capital gain. The Equity selection is actively made based on fundamental and/or technical whereat a temporary focus is possible. Further the fund is allowed to inve	Name	Gutmann Aktienfonds	FACTSHEET 03/31/2019   PDF   105.5 kB
maturity maximum up to 49 per cent of the fund assets. Derivative instrun	Internal ID	GAF	PDF KID 02/12/2019   PDF   128.6 kB
	Currency	EUR	
	End of financial year	12/31/2019	



You will find all relevant trading information: price at issuance, redemption price, issuing premium, redemption premium, trading frequency, cut-off times and value dates. On the right, you will find the details of your adviser including contact details. The graphically displayed asset allocation illustrates the current allocation and investment strategy.

14130. 111901 1110110		1 unu munuger	
Fund volume	102,451,758.05		
Calculated on	06/25/2019		
Fund start	12/28/1995	<u>@</u>	
		R.	
	Fund Composition Lorem	R L L	
	Fund Composition Lorem	a. E	
	Fund Composition Lorem	a. E	0.91%
	Fund Composition Lorem Cash and money market Bonds	a	0.91%
	Fund Composition Lorem Cash and money market Bonds Equities		0.91% 0.00% 99.11%
	Fund Composition Lorem Cash and money market Bonds Equities Alternative Investments		0.91% 0.00% 09.11% 0.00%
	Fund Composition Lorem Cash and money market Bonds Equities Alternative Investments Derivatives	a	0.91% 0.00% 09.11% 0.00% 0.00%

"Other information" provides you with further details regarding the fund and on the right; you will find information concerning the last annual distribution.

All current documents can be downloaded in the lower section under the fund details as well as historical documents listed in the archive section. Additionally you have the possibility to register for new documents and receive these via email.

Documents	
C ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま     G ま	ANNUAL REPORT 12312018 [PDF] 854.8 MB     B: PRICE HISTORY 0502019 [XLSX
L 02/12/2019   POF   128.6 KB	
ARCHIVE	INFO SERVICE
Archive Description Lorem Ipsum	Suscribe to the documents you want to receive by email regularly.
TO ARCHIVE	SUBSCRIBE

# 3.3. Menu item "Performance"

Various performance valuations are available in the "Performance" tab in form of linear charts. In the top right hand corner, you have the option to download the data used for the chart into an excel spreadsheet (besides data relating to the benchmark). Additionally it is possible to add the benchmark (so long as one has been defined) to the fund, tranche or segment. You also have the option to display a differential chart by selecting the time series defining respectively the base time series and the available timeframe.

PRIVATE BANKERS		
- Chart settings		
Show time series		
Gutmann Aktienfonds (T, EUR) (AT0000973003)		
Gutmann Aktienfonds (T, USD) (AT0000A1QCR4) Gutmann Investor Dynamisch	XLS-Download	
Gutmann Investor Dynamisch (T, EUR) (AT0000779376)	Chart settings     Difference chart	
Gutmann Investor Dynamisch (T, EUR, I) (AT0000AIFHQ8)	- Difference chart	

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### Performance chart

The performance data, provided in the performance overview, has been computed using the calculation method of the OeKB. Additional funds as well as benchmarks can be added to the chart in the chart settings. The performance dates of the data displayed in each chart can be individually overridden by changing the start and end dates.



## 3.4. Menu item "Positions"

The holdings are listed under "Positions" and aggregated by asset class. Each asset class being easily identifiable by its own specific color. Data can be configured through an advance search and downloaded into Excel. Extensive information is available for each position.



# 3.5. Menu item "Deposit Transactions"

The transaction tab offers the possibility of an advance search and excel download.

### Custody account transactions

Under custody account transactions, you will find all transactions relating to the positions in the selected fund element within a defined time-period. A page with further detailed information opens when clicking on a transaction.

$\bigcirc$	Deposit Transactions	S						
i	Gutmann Aktienfonds							
⊬	Search Q	Date :	Transaction burs		Unite a Title a	Show	extended search V	Malue in EUD -
		- Date -	:		Units + Title +	<ul> <li></li> </ul>	Currency :	Value III LOIK -
==	Download Deposit Transactions	>01/11/2019	booking out		-13,959.00 Total S.A. Anrechte (Wahldividende)	EUR	0.00000	0.00
		>01/23/2019	buy securities		337.00 Hannover Rück SE	EUR	127.26590	42,951.48
<u> </u>		>01/23/2019	buy securities		2,291.00 GlaxoSmithKline PLC	GBP	14.98990	39,251.69
$\rightarrow$	Date C Transaction type	01/23/2019	buy securities		187.00 ROCHE HOLDING AG Inhaber- Genußscheine o.N.	CHF	259.62810	42,997.49
	0	-						×
3	> 01/11/2019 booking out							
0)11	> 01/23/2019 buy securities	Settlement an	nounts		Billing information	Deta	ils	
	> 01/23/2019 buy securities	Market value in	currency	48,621.56	Foreign exchange rate 0.8843	3 Trade	e date	01/22/2019
	04/00/0040	Accrued interes	t in currency	0.00		Value	date	01/24/2019
LĊ	01/23/2019 buy securities	Taxes in curren	су	0.00		ISIN		CH0012032048
		Third-party fees	in currency	14.57		Trans	action label	6082173
	> 01/23/2019 buy securities	In-house fees in	n currency	56.53		Categ	lory	Equities
+	> 01/23/2019 buy securities							

### Payouts

In the "Payouts" schedule, you will find all positions, which have been accounted for, as well as the details of the individual bookings.

$\bigcirc$	Payouts								
i	Gutmann Ak	tienfonds							
$\vdash$	Search	Q					Show extended	search ¥	
==	Download Payouts	XIX					47 Results	s   Reset	
$\stackrel{\longleftarrow}{\longrightarrow}$	Booking date :	Transaction	>01/02/2019 RD		23,450.00 TELUS CORP.		CAD	0.54500	6,102.22
	booting date v	÷	>01/09/2019 RD		7,015.00 The Walt Disney Co.		USD	0.88000	3,751.83
2	> 01/02/2019	RD	01/10/2019 RD		8,898.00 Philip Morris Internat. Inc.		USD	0.03420	181.51
9))	> 01/09/2019	RD							×
_	> 01/10/2019	RD			D.11.				
	> 01/10/2019	RD	Settlement accounts		Billing information		Details		
-0	> 01/10/2019	RD	Gross amount in currency	304.31	Gross amount in EUR	265.01	Trade date		01/09/2019
	>01/11/2019	RD	Third-party fees in currency	0.00	Foreign Exchange rate	1.14831	Value date		01/15/2019
+	>01/11/2019	RD	In-house fees in currency	4.59			Ex-dividend da	ite	01/10/2019
	> 01/30/2019	RD	Value in currency	208.43			ISIN		US7181721090
$\square$	> 01/31/2019	RD					Transaction lat	bel	6008784
							Category		Equities



# 3.6. Menu item "Subscription Service"

Under the tab "Subscription Service", you will find an overview of your current subscriptions and have the opportunity to subscribe to further fund documents relating to your special fund. Current and historic assessment files are also available as excel downloads.

In the subscription management section of Your Personal Funds Service, you can subscribe to individual publications, specific to your special fund, as well as to publications relating to Gutmann KAG public funds in the public publications.

$\bigcirc$	Subscription	Service						
i	In the Subscription Service you wi any time. In addition, current price	ill find a list of your current subscriptions and e information on all public funds is available fi	you may subscribe to additional to rownloading.	fund documen	ts at			
⊬	Use the Administration Tab to edit you by email on a regular basis . <i>i</i> documents by placing a check ma	t or terminate current subscriptions. In the co After you have selected a fund, it will appear ark in the appropriate box.	mprehensive list of all funds, you in Subscription overview and you	may select the will be able to	ose funds for which subscribe to spec	i information will be sen ific or all types of individ	it to Jual	
ΞΞ	TO THE PUBLIC INFOSER	VICE						
$\stackrel{\longleftrightarrow}{\longrightarrow}$	Fynort funds valuation	s	Subscription Actual overview					
<pre></pre>	Export funds valuation Export funds valuation	S	Subscription Actual overview					
₩ (†	Export funds valuation Export funds valuation	S Funds name $\hat{\circ}$ (0 abonniert)	Subscription Actual overview		KID (I)	Factsheet	Further Documents	v
∭ []	Export funds valuation Export funds valuation	S Funds name > (0 abonniert) Gutmann Aktienfonds	Actual overview		- KID ()	Factsheet	Further Documents	*
	Export funds valuation Export funds valuation Subscription Service	S Funds name ≎ (0 abonniert) Gutmann Aktienfonds Gutmann Aktienfonds	Actual overview ISIN 0 AT0000A1QCR4 AT0000978003		- KID ()	Factsheet	Further Documents Further Documents Further Documents Further Documents	*
₹] ∭ []	Export funds valuation Export funds valuation Subscription Service Preferred Language	Funds name  (0 abonniert) Gutmann Aktienfonds Gutmann Aktienfonds Gutmann Investor Dynamisch	Actual overview ISIN 0 AT0000A1QCR4 AT0000973003 AT0000A1FHQ8		- KID ()	Factsheet	Further Documents Further Documents Further Documents Further Documents	*
11 Son 11	Export funds valuation Export funds valuation Subscription Service Preferred Language	Sumds name © (0 abonniert) Gutmann Aktienfonds Gutmann Aktienfonds Gutmann Investor Dynamisch Gutmann Investor Dynamisch	Actual overview ISIN C AT00000A1QCR4 AT0000A1GR4 AT0000A1FHQ8 AT0000779376		- KID () 	Factsheet	Further Documents Further Documents Further Documents Further Documents Further Documents	* * * *

## 3.7. Menu item "Archive"

You can access and download historic versions of your fund documents under the "Archive" tab.

$\bigcirc$	Archive	
i		
<u></u>	Search Q	
==	Document type 🕧 🛛 All	12 Results
$\stackrel{\longleftarrow}{\longrightarrow}$	Year 2019 ^	
2	March ^	
- <del>0</del> -	CE FACTSHEET FACTSHEET GUTHANN AKTIENFONDS ENGLISCH Gastizonie (PDF) flos 548	
$\square$		



# 3.8. Menu item "Information about Fund Service Individual"

Under this menu item, you will find the Terms and Conditions of the Fund Service Individual, as well as this user manual.

$\bigcirc$	Information about Fondsservice Individual
i	Terms and conditions
~	The use of Online Reporting is subject to the below terms and conditions. For questions, please contact your client relationship manager. Terms and conditions.pdf
ΞΞ	
$\stackrel{\longleftrightarrow}{\longrightarrow}$	User guide Below please find our Online Reporting user guide.
2	on Purcha
C,	Weitere Informationen Imprint
+	Disclaimer Data protection Contact
$\square$	General Terms & Conditions

# 3.9. Menu item "Envelope"

Wherever you find yourself in the Fund Service Individual, you may send a message to your client manager at any time by using the envelope-shaped icon. This menu item opens a message box, which you can use to send your questions regarding Fund Service Individual direct to your relationship manager. Your relationship manager will then get in touch with you through your agreed communication channel.

	QUESTION FOR GERGELY HEGEDÜS	
==	SUBJECT	N C
$\stackrel{\longleftarrow}{\longrightarrow}$	MESSAGE*	
<u>))</u>		
	~	
<b>*</b>	This message does not constitute a request for action.	
$\bigtriangledown$	SEND	



# 4. Security and technology

Utmost security is one of the values of Bank Gutmann. Online Reporting therefore uses technology and security standards that conform to the state of the art common in the market.

### 4.1. Security

Security of your data is important to us. Online Reporting can therefore be accessed only through two-factor authentication, which is guaranteed by use of a personal password and the second factor in the shape of a current security code. This security code must be newly generated for every login by using the Vasco Token assigned to your user and entered into the login mask.

### 4.2. User settings

The person icon displayed in the top right corner enables you to independently change the e-mail address you provided for Online Reporting. This is needed for the "Password forgotten" function that is available to you in the login mask. It enables you to enter your user identification and have a new password sent to the e-mail address named by you to replace your previous password.

In addition, user settings include a function enabling you to change your personal password.

### 4.3. Technical information

Due to the large data volumes that are sometimes available under Online Reporting, use of the Microsoft Internet Explorer may occasionally lead to lengthy loading times. For best results in using the features of the new Online Reporting system, we recommend you use browsers Google Chrome, Mozilla Firefox or Safari.